

**Form ADV Part 2B
(Brochure Supplements)**



SAGE MOUNTAIN
— A D V I S O R S —

Item 1. Cover Page

Scott M. Neu



SAGE MOUNTAIN
— A D V I S O R S —

945 East Paces Ferry Road NE, Suite 2660

Atlanta, GA 30326

(404) 795-8361

www.sagemountainadvisors.com

March 10, 2021

This Brochure Supplement provides information about Scott M. Neu that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Scott M. Neu is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Scott M. Neu, Chief Executive Officer

Year of Birth: 1970

Education:

Vanderbilt University, MBA, Business, 2000

University of Wyoming, BS, Electrical Engineering, 1998

Business Background:

Sage Mountain Advisors, LLC, Chief Executive Officer, September 2018 – Present

Goldman Sachs, Managing Director, August 2000 – August 2018

Mr. Neu holds the professional designation of Chartered Financial Analyst (“CFA”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Neu.

Item 4. Other Business Activities

Mr. Neu serves as a Board Member and an Investment Committee member for the University of Wyoming Foundation.

Mr. Neu serves as Chief Investment Officer and an Investment Committee member for the McMurry Foundation, a family foundation which supports communities within Wyoming in the areas of education, religion, children and advocacy for children, health and human services, the arts and humanities, and favorable business environments.

Mr. Neu serves as a Board Member and Professional Trustee for NERD Fiduciary Management, LLC. NERD is a private family trust company designed to provide fiduciary services to members of a family.

Item 5. Additional Compensation

No reportable additional compensation

Item 6. Supervision

Mr. Neu is the Chief Executive Officer of Sage Mountain and is generally responsible for his/her own supervision. Mr. Neu seeks to ensure that investments are suitable for his/her individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by Sage Mountain clients.

Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Neu to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Neu, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Neu.

Item 1. Cover Page

Anthony J. Cox



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Item 2. Educational Background and Business Experience

Anthony J. Cox, Chief Investment Officer

Year of Birth: 1986

Education:

Vanderbilt University, Master of Science in Finance, Finance, 2009

University of Kentucky, Bachelor in Business Administration, Finance, 2007

Business Background:

Sage Mountain Advisors, LLC, Chief Investment Officer, September 2018 – Present

Goldman Sachs, Vice President, August 2009 – August 2018

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Cox.

Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Cox is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

Item 5. Additional Compensation

No reportable additional compensation

Item 6. Supervision

Scott Neu, Chief Executive Officer, is generally responsible for supervising Mr. Cox's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8362. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Cox to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Cox, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Cox.

Item 1. Cover Page

Stephen M. Roegge, Jr.



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This Brochure Supplement provides information about Stephen M. Roegge, Jr. that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Stephen M. Roegge, Jr. is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

Stephen M. Roegge, Jr., Client Relations Associate

Year of Birth: 1992

Education:

Georgia Institute of Technology, Bachelor of Science in Business Administration, Business, 2014

Business Background:

Sage Mountain Advisors, LLC, Client Relations Associate, September 2018 – Present
Cladding & Component Solutions, Financial Associate, January 2018 – September 2018
C2 Education, Finance & Budget Analyst, April 2016 – January 2018
Wells Fargo, Financial Analyst, July 2014 – April 2016

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Roegge.

Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Roegge is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

Item 5. Additional Compensation

No reportable additional compensation

Item 6. Supervision

Tony Cox, Chief Investment Officer, is generally responsible for supervising Mr. Roegge's advisory activities on behalf of Sage Mountain. The telephone number to reach Tony Cox is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Roegge to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Roegge, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Roegge.

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Allison Wagner



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This Brochure Supplement provides information about Allison Wagner that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Allison Wagner is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Year of Birth: 1985

Education:

University of Florida, Bachelors, 2008

Business Background:

Sage Mountain Advisors, LLC, Vice President of Client Services, 2018 – Present

Goldman Sachs & Co. LLC, Wealth Management Professional, 2014 – 2018

PNC Investments, Relationship Manager, 2012 – 2014

Merrill Lynch, Financial Advisor, 2012 – 2012

SunTrust Bank, Financial Service Representative, 2010 – 2012

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Wagner.

Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Wagner is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

Item 5. Additional Compensation

No reportable additional compensation

Item 6. Supervision

Whitney Imeraj is generally responsible for supervising Ms. Wagner's advisory activities on behalf of Sage Mountain. The telephone number to reach Whitney Imeraj is (404) 795-8364. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Wagner to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Wagner, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Wagner.

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Christine Phelps



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This Brochure Supplement provides information about Christine Phelps that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Christine Phelps is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Year of Birth: 1978

Education:

East Carolina University, Commercial Banking School, 2005

BB&T Leadership Development Program, 2001

Southern Methodist University, BBA, Finance and Real Estate; BA Latin American Studies, Minor Spanish, 2000

Professional Designations:

Emory University, Certified Financial Planner®, 2009

Business Background:

Sage Mountain Advisors, LLC, Chief Executive Officer, May 2020 – Present

BB&T, Senior Vice President – Wealth Advisor, June 2013 – May 2020

Wells Fargo, Vice President – Senior Private Banker, June 2010 – May 2013

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Phelps.

Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Phelps is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

Item 5. Additional Compensation

No reportable additional compensation

Item 6. Supervision

Scott Neu is generally responsible for supervising Ms. Phelps's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Phelps to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Phelps, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Phelps.

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Edward “Ned” Douthat



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This Brochure Supplement provides information about Ned Douthat that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Ned Douthat is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Year of Birth: 1983

Education:

Emory University—Goizueta School of Business, MBA, 2015

University of Texas, Bachelors, 2006

Business Background:

Sage Mountain Advisors, LLC, Wealth Advisor, 2020 – Present

Goldman Sachs & Co. LLC, Private Wealth Advisor, 2015 – 2020

Russell Reynolds Associates, Research Consultant, 2010 – 2013

Ockham Research, LLC, Vice President, 2008 – 2010

Financial/Market Management, Inc., Vice President, 2006 – 2008

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Douthat.

Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Douthat is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

Item 5. Additional Compensation

No reportable additional compensation

Item 6. Supervision

Scott Neu is generally responsible for supervising Mr. Douthat's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8362. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Douthat to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Douthat, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Douthat.